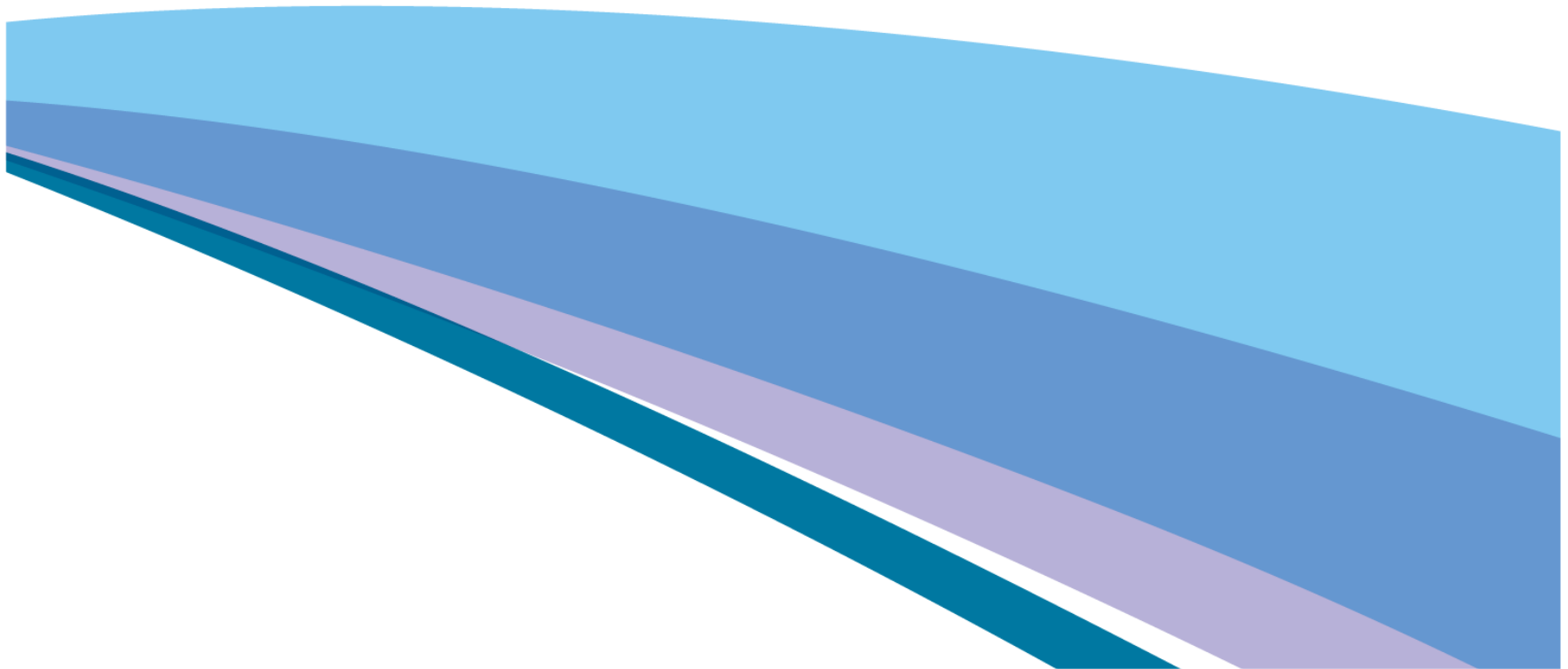




Case Management System

Paris, 25th January 2016

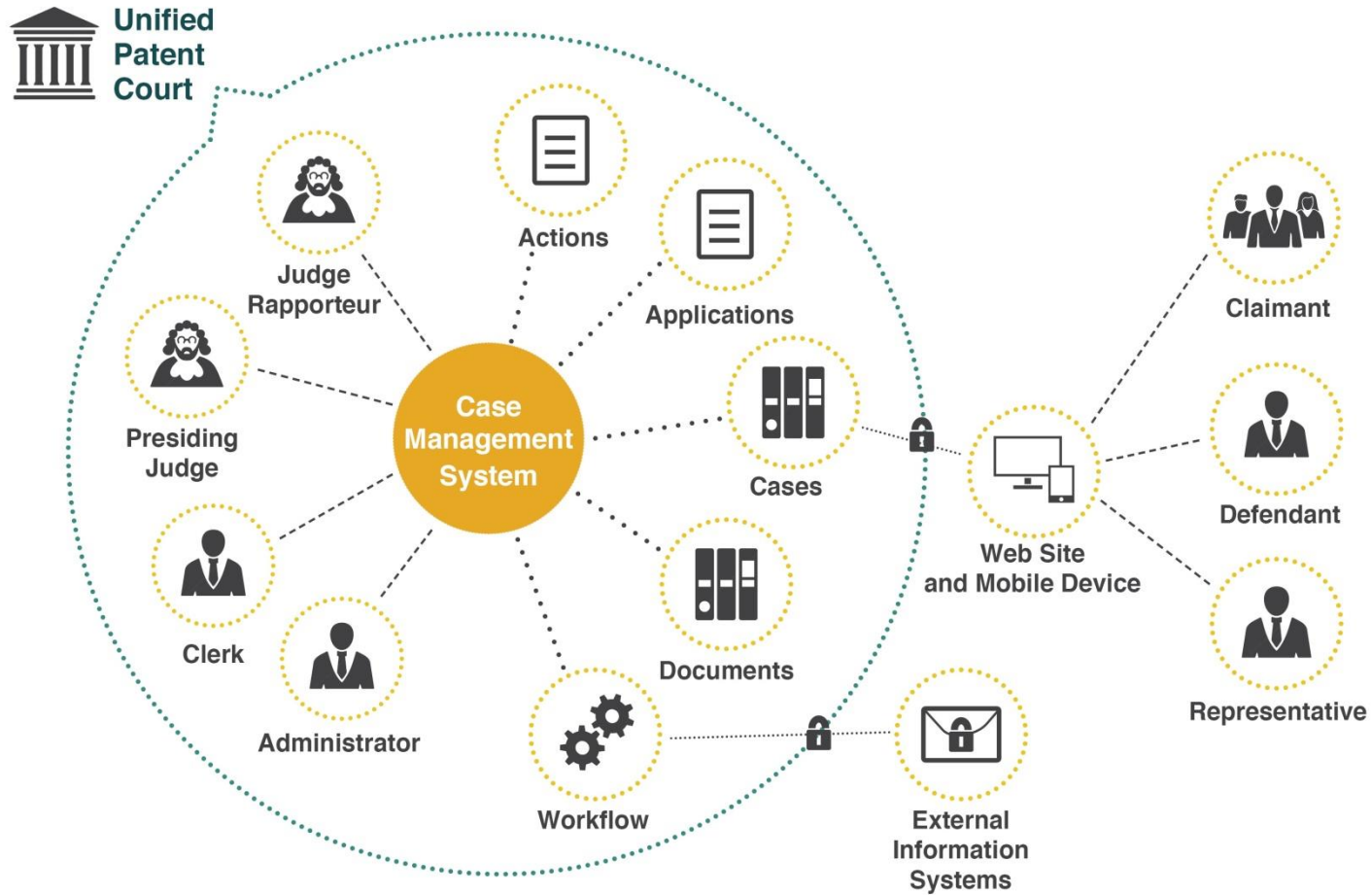




- A Case Management System (**CMS**) is being implemented to serve as a core **collaboration platform** for performing the tasks of the **Unified Patent Court (UPC)**.
- The UPC will have competence to hear disputes regarding the new Unitary Patent as well as European bundle patents granted by the European Patent Office (EPO).
- Divisions of the Court will be based in several of the Signatory States, working in different languages.



- Single Platform and Data Repository
- Role-based Profiles
 - Claimant (Lawyer)
 - Defendant (Lawyer)
 - Clerk
 - Judge
- Case Management
 - Actions
 - Applications
- Workflow
 - Task assignment
 - Activities and Documents Tracking
- Access to information





Welcome

Welcome to the Unified Patent Court Case Management System.
[Read more on how to get started](#)

My Tasks

Number

Year

Type

2016

All



Number	Type	Internal Reference	Task
51/2015	Infringement Action	ss	Insert Data
59/2015	Infringement Action	aaa	Insert Attachment
61/2015	Infringement Action		Insert Data
34/2016	Amend Document Application		Insert Data

Page 1 of 1

View 1 - 4 of 4

My Cases

Case N. ↕

Case Type

Action / Application Type

Patent N.

Action search

Number

Patent
Number

Your
Internal
Reference

SEARCH

Application Search

Application
Number

Patent
Number

Your
Internal
Reference

SEND FEEDBACK



- My dashboard
- Actions
- Applications
- Calendar
- Collaboration area
- Help

Calendar

23 October
2015

Daily view Weekly view Monthly view

Time		Type	Notes
8.00			
8.30			
9.00	Meeting: Interim conference John Smith (claimant), Robert Taylor (defendant), François Cedric (judge rapporteur)		Debating document completeness and considering possible integrations.
9.30			
10.00			
10.30			
11.00			
11.30			
12.00	Call		
12.30			
13.00			
13.30			
14.00			
14.30	Oral hearing John Smith (claimant), Robert Taylor (defendant), François Cedric (judge rapporteur), Adrien Thyssier (presiding judge of the panel)		Acquiring parties' oral submissions.
15.00			
15.30			
16.00			
16.30			
17.00			
17.30			
18.00			

Case search

Case Number

Patent ID

search

Application search

Application Number

Patent ID

search

My Tasks

Number	Type	
104/2015	Opt-Out Application	
105/2015	Opt-Out Application	
106/2015	Opt-Out Application	
108/2015	Opt-Out Application	
110/2015	Opt-Out Application	

Users

- External actors: (They apply for registration before accessing the system)
 - external user claimant (individual, company, lawyer)
 - external user defendant
 - external user – third party (technician, witness, expert)
- Internal actors (they are registered by the System Administrator)
 - internal user – clerk
 - internal user – judge rapporteur
 - internal user – presiding judge
 - administrator
- External corporate actors (companies, authorized law firms) are represented by individual users who act on their behalf with different privileges.



Processes

- Cases are represented by processes of a given type (*Infringement Action, Revocation Action, ...*)
- A process is organized as a system of logically interconnected sub processes (*Submit a Statement of Claim, Raise a Preliminary Objection, Issue an Order, ...*)
- At any time, users know what has been done and what is left to do to achieve it
- Timers ensure that every action is accomplished within a specified amount of time or by a given deadline

Documents

- Every action is built around a document. A document is obtained by merging data specified by the user into a template or by uploading pleadings, exhibits, orders, applications
- Documents are stored into a document system. Meta data are saved into a database for subsequent quick searches
- A document evolves through its versions. A version is created in a temporary state allowing it to be updated. Then, it is submitted and can no longer be updated. If submitted by an external user, it is checked and validated/rejected. If rejected, a request of amendments allows the author to submit a new version
- Every version is assigned a language, a submission date and time
- Every document can be qualified as confidential



- **Written procedure** The parties lodge their documents. They are formally checked by the Registry staff. The Judge rapporteur is appointed and manages possible preliminary objections. Fees are paid.
- **Interim procedure** The Judge rapporteur probes the conflicting stances, tries to settle the dispute and possibly asks the parties to integrate their pleadings.
- **Oral procedure** The panel collect oral submissions to integrate written ones and issue a decision on the merits.



- The Claimant lodges a Statement of Claim.
- Pleadings and Exhibits are attached.
- The Clerk approves SOC.
- Fees are cashed in.
- Defendant is serviced the Case.
- The Defendant lodges a Statement of Defense.
- The case is assigned to a panel. A judge rapporteur is appointed.
- Optionally, the Defendant raises a Preliminary Objection.
- Reply to SOD.
- Rejoinder to the Reply to SOD.
 - Counterclaim for Revocation. Defense. Reply. Rejoinder.
 - Application to Amend the Patent . Defense. Reply. Rejoinder.
 - Optionally, a party applies for a technically qualified judge.



- (Optionally) the judge rapporteur summons an Interim conference.
- The judge rapporteur asks the parties for clarifications.
- The judge rapporteur orders that the parties lodge new documents and/or integrate lodged documents.
- The judge rapporteur issues a calendar for the next Oral procedure.
- A party applies for simultaneous translation.



- The panel hear the parties and their representatives.
- The panel hear the witnesses and the experts.
- The panel issue a decision on the merits.

- Feedback: live and online via Bugherd
- Thank you